

#7 PATENT  
Attorney Docket No. 06502.0267

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of )  
)  
Adrian E. COLLEY et al. )  
)  
Serial No. 09/753,686 )  
)  
Filed: January 4, 2001 )  
)  
For: METHOD AND SYSTEM FOR )  
PASSING OBJECTS IN A )  
DISTRIBUTED SYSTEM )  
USING SERIALIZATION )  
CONTEXTS )

Group Art Unit: 2122  
Examiner: Not Yet Assigned

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DEC 03 2001  
Group 2100

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application.

Copies of the listed documents are attached.

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

LAW OFFICES

FINNEGAN, HENDERSON,  
FARABOW, GARRETT,  
& DUNNER, L.L.P.  
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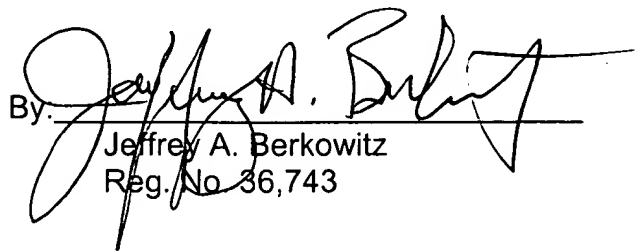
This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and applicants determine that the cited documents do not constitute "prior art" under United States law, applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

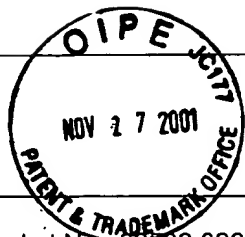
If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

By:   
Jeffrey A. Berkowitz  
Reg. No. 36,743

Date: November 27, 2001



**INFORMATION DISCLOSURE CITATION**  
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Atty. Docket No. 00502.0267-00000

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Applicant: Adrian E. Colley et al.

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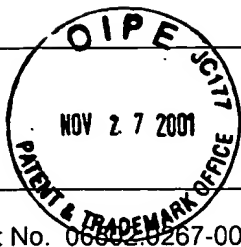
**U.S. PATENT DOCUMENTS**

| Examiner Initial* | Document Number | Date       | Name                   | Class | Sub Class | Filing Date If Appropriate |
|-------------------|-----------------|------------|------------------------|-------|-----------|----------------------------|
|                   | 4,430,699       | 2/7/1984   | Segarra et al.         |       |           |                            |
|                   | 4,558,413       | 12/10/1985 | Schmidt et al.         |       |           |                            |
|                   | 5,392,280       | 2/21/1995  | Zheng                  |       |           |                            |
|                   | 5,758,344       | 5/26/1991  | Prasad et al.          |       |           |                            |
|                   | 5,784,560       | 7/21/1998  | Kingdon et al.         |       |           |                            |
|                   | 5,845,090       | 12/1/1998  | Collins, III et al.    |       |           |                            |
|                   | 5,933,647       | 8/3/1999   | Aronberg et al.        |       |           |                            |
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|                   | 5,974,201       | 10/26/1999 | Chang et al.           |       |           |                            |
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|                   | 6,023,586       | 2/8/2000   | Gaisford et al.        |       |           |                            |
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|                   | 6,058,383       | 5/2/2000   | Narasimhalu et al.     |       |           |                            |
|                   | 6,067,575       | 5/23/200   | McManis et al.         |       |           |                            |
|                   | 6,085,255       | 7/4/2000   | Vincent et al.         |       |           |                            |
|                   | 6,108,346       | 8/22/2000  | Doucette et al.        |       |           |                            |
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|                   | 6,185,611       | 2/6/2001   | Waldo et al.           |       |           |                            |

Examiner

Date Considered:

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.


**INFORMATION DISCLOSURE CITATION**  
 (Use several sheets if necessary)

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**U.S. PATENT DOCUMENTS**

| Examiner Initial* | Document Number | Date      | Name          | Class | Sub Class | Filing Date If Appropriate |
|-------------------|-----------------|-----------|---------------|-------|-----------|----------------------------|
|                   | 6,226,746       | 5/1/2001  | Scheifler     |       |           |                            |
|                   | 6,247,091       | 6/12/2001 | Lovett        |       |           |                            |
|                   | 6,282,295       | 8/28/2001 | Young et al.  |       |           |                            |
|                   | 6,282,568       | 8/28/2001 | Sondur et al. |       |           |                            |
|                   |                 |           |               |       |           |                            |
|                   |                 |           |               |       |           |                            |

**FOREIGN PATENT DOCUMENTS**

| Examiner Initial* | Document Number | Date | Country | Class | Sub Class | Translation Yes or No |
|-------------------|-----------------|------|---------|-------|-----------|-----------------------|
|                   |                 |      |         |       |           |                       |
|                   |                 |      |         |       |           |                       |

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

|   |   |
|---|---|
| Examiner  | Date Considered:  |
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| Form PTO 1449   | Patent and Trademark Office - U.S. Department of Commerce |